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<p>(54) Title: IMPROVEMENTS IN AND RELATING TO VACCINES</p> <p>(57) Abstract</p> <p><u>Vesicles</u> comprising <u>at least one non-ionic surfactant</u> and at least one molecule having the ability to transport or facilitate the transport of <u>fats, fatty acids and lipids across mucosal membranes</u>. With entrapped antigen they can act as <u>immunological adjuvants</u>. Vaccines based therein are active orally as well as by conventional administration routes and are stimulators of antibody production via the Th 1 T lymphocyte pathway.</p>		

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- 1 -

IMPROVEMENTS IN AND RELATING TO VACCINES

5           The present invention relates to vesicles having novel compositions and to their use as an adjuvant, particularly for orally administered vaccines as well as to vaccines administered by the conventional parenteral route.

10           Vesicles composed of various types of amphipathic molecules are known. These include liposomes, which have a phospholipid bilayer, and non-ionic surfactant vesicles (NISV), in which the vesicles are formed essentially of non-ionic surfactants (NIS) such as  
15 polyoxyethylene aliphatic ethers. Both types of vesicle have an aqueous compartment enclosed by the bilayer or lamella within which various molecules can be entrapped as solutes.

          Vesicles comprising a phospholipid bilayer occur  
20 naturally and are important in biological systems, eg. as microsomes. Non-ionic surfactant vesicles (NISV) are used in the cosmetic field e.g. as moisturising agents.

          By virtue of the ability to entrap or encapsulate molecules, these vesicles are used in the medical field  
25 as carriers, e.g. for drug delivery.

          As is described in our international patent application no. PCT/GB93/00716 filed 6th April 1993, non-ionic surfactant vesicles containing entrapped antigens act as potent immunological adjuvants.

30           We have now found that a new type of vesicle structure comprising non-ionic surfactants together with

-2-

molecules having the ability to transport, or facilitate the transport of, fats, fatty acids, and lipids across mucosal membranes (hereinafter termed "transport enhancers") are capable, when an antigen is entrapped  
5 therein, of acting as potent immunological adjuvants, the adjuvant effect being particularly striking with vaccines of this type administered orally.

Thus according to one aspect the present invention provides at least one antigen entrapped in vesicles  
10 comprising at least one non-ionic surfactant and at least one molecule having the ability to transport, or facilitate the transport of, fats, fatty acids and lipids across mucosal membranes.

According to a further aspect, we provide a  
15 composition comprising vesicles with entrapped antigen according to the invention together with a pharmaceutically acceptable carrier or excipient. In a preferred aspect, the composition is in a form suitable for oral administration.

20 Adjuvants are agents which assist in stimulating the immune response, a property which is highly desirable for certain antigens, notably those of low molecular weight such as peptides, which are inherently weak stimulators of the immune system even when coupled  
25 to carriers.

Although the use of adjuvants can overcome these problems, many adjuvants introduce further difficulties. The only adjuvant currently licensed for use in man is aluminium hydroxide. However, aluminium hydroxide is  
30 not considered to be an adequate adjuvant for all antigens as it does not adequately boost cell-mediated

-3-

immunity (CMI), an essential property if a vaccine is to be successful, especially against intracellular pathogens such as Leishmania, Toxoplasma and viruses. Freund's Complete Adjuvant (FCA) does stimulate cellular immunity but is unsuitable for human or veterinary use as it promotes granuloma formation, adhesions, and other toxic side effects. FCA also produces a local inflammatory reaction which can persist for months. There is an urgent need for new non-toxic adjuvants which promote cell-mediated immunity. Indeed, such adjuvants will be essential if the full potential of vaccines based on peptide antigens is to be realised. This need is met at least to a major extent by the adjuvants of the present invention.

Thus according to another aspect, the present invention provides a vaccine comprising at least one antigen entrapped in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport, or facilitate the transport of, fats, fatty acids and lipids across mucosal membranes.

According to another aspect, the present invention provides a method of potentiating the immunological response to at least one antigen in a mammalian or non-mammalian subject which comprises administering said at least one antigen entrapped in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport, or facilitate the transport of, fats, fatty acids and lipids across mucosal membranes.

According to a yet further aspect, the present invention comprises a method for preparing a vaccine

-4-

comprising entrapping at least one antigen in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport, or facilitate the transport of, fats, fatty acids and lipids across mucosal membranes.

In these aspects of the present invention, the vesicular components are, of course, desirably pharmacologically acceptable.

The adjuvants of the present invention are suitable for varied types of antigen, including peptide antigens such as synthetic peptide antigens which notoriously are only weak stimulators of the immune system and also for potentiated forms thereof such as subunit vaccines which contain only certain antigenic parts of the pathogen. The adjuvants of the present invention can also be used for antigens which are inherently capable of acting as vaccines and those formulated with effective adjuvants whose properties may be augmented.

We have found that the adjuvant effect is generally at least equivalent to that achieved with vesicles comprised of non-ionic surfactant alone, as described in our PCT/GB93/00716, and sometimes greater, particularly when orally administered.

A variety of molecules which have the transporting characteristics required for the vesicles of the present invention may be used, however cholesterol derivatives in which the C<sup>23</sup> carbon atom of the side chain carries a carboxylic acid, and derivatives thereof are particularly preferred.

Amongst such derivatives are the "bile acids" cholic acid and chenodeoxycholic acid, their conjugation

-5-

products with glycine or taurine such as glycocholic and taurocholic acid, and derivatives including deoxycholic and ursodeoxycholic acid, and salts of each of these acids; vesicles comprising these molecules constitute a particularly preferred aspect of the invention.

Although "bile salts" are known as penetration enhancers to aid the mucosal administration of drugs, and particularly for protein or peptide drugs which are nasally administered, they have never previously been incorporated into vesicles, nor have they been utilised for potentiating the immune response to orally administered substances. Indeed, the detergent properties of "bile salts" might be expected to have a disruptive effect on the integrity of vesicles.

Also preferred as transport enhancers of the present invention are acyloxylated amino acids, preferably acyl carnitines and salts thereof particularly those containing C<sub>6-20</sub> alkanoyl or alkenoyl moieties, such as palmitoyl carnitine. Again, these compounds have not previously been incorporated into non-ionic surfactant vesicles. As used herein, the term acyloxylated amino acid is intended to cover primary, secondary and tertiary amino acids as well as  $\alpha$ ,  $\beta$  &  $\gamma$  amino acids. Acylcarnitines are examples of acyloxylated  $\gamma$  amino acids.

The vesicles of the invention may comprise more than one type of transport enhancer in addition to the non-ionic surfactants for example one (or more) different bile salts and one (or more) acylcarnitines.

The non-ionic surfactant used to form the vesicles of the invention may be any material with the

-6-

appropriate surface active properties. However, in forming the basis of vesicles to act as immunological adjuvants in conjunction with an antigen it is of course desirable that the surfactant is pharmacologically acceptable. Preferred examples of such materials are ester linked surfactants based on glycerol. Such glycerol esters may comprise one or two higher aliphatic acyl groups e.g. containing at least ten carbon atoms in each acyl moiety. Surfactants based on such glycerol esters may comprise more than one glycerol unit, preferably up to 5 glycerol units and more preferably 4 glycerol units. Glycerol monoesters are preferred, particularly those containing a  $C_{12}$ - $C_{20}$  alkanoyl or alkenoyl moiety, for example caproyl, lauroyl, myristoyl, palmitoyl, oleyl or stearoyl. A particularly preferred surfactant is 1-monopalmitoyl glycerol.

Ether-linked surfactants may also be used as the non-ionic surfactant of which the vesicles according to the invention are comprised. Preferred examples of such materials are ether-linked surfactants based on glycerol or a glycol preferably a lower aliphatic glycol of up to 4 carbon atoms, most preferably ethylene glycol. Surfactants based on such glycols may comprise more than one glycol unit, preferably up to 5 glycol units and more preferably 2 or 3 glycol units, for example diglycol cetyl ether or polyoxyethylene-3-lauryl ether. Glycol or glycerol monoethers are preferred, particularly those containing a  $C_{12}$ - $C_{20}$  alkanyl or alkenyl moiety, for example capryl, lauryl, myristyl, cetyl, oleyl or stearyl.

The ethylene oxide condensation products usable in



-7-

this invention include those disclosed in WO88/06882, i.e. polyoxyethylene higher aliphatic ether and amine surfactants. Particularly preferred ether-linked surfactants are 1-monocetyl glycerol ether and diglycol cetyl ether. However, for use in the adjuvant aspect of the present invention it is necessary to select pharmacologically acceptable materials, preferably those which are readily biodegradable in the mammalian system. For this reason, we prefer the aforementioned glycerol esters for preparing vesicles to be administered by injection, either subcutaneous, intramuscular, intradermal or intraperitoneal, or via the mucosal route such as by oral, nasal, bronchial, urogenital or rectal administration, oral administration being particularly preferred.

For effective vesicle formation, it is desirable that the vesicle components are admixed with an appropriate hydrophobic material of higher molecular mass capable of forming a bi-layer, particularly a steroid, e.g. a sterol such as cholesterol. The presence of the steroid assists in forming the bi-layer on which the physical properties of the vesicle depend.

The vesicles according to the invention may also incorporate a charge-producing amphiphile, to cause the vesicles to take on a negative charge. This helps to stabilise the vesicles and provide effective dispersion. Acidic materials such as higher alkanoic and alkenoic acids (e.g. palmitic acid, oleic acid); or other compounds containing acidic groups, e.g. phosphates such as dialkyl, preferably di(higher alkyl), phosphates, e.g. dicetyl phosphate, or phosphatidic acid or

- 8 -

phosphatidyl serine; or sulphate monoesters such as higher alkyl sulphates, e.g. cetyl sulphate, may all be used for this purpose.

In the vesicles of the present invention the transport enhancer may eg. comprise up to 2000% by weight of the non-ionic surfactant, preferably 40 to 400 percent. The steroid if present may e.g. comprise 20-120 percent by weight of the non-ionic surfactant, preferably 60-100 percent. The amphiphilic material producing a negative charge may e.g. comprise 1-30 percent by weight of the non-ionic surfactant.

The vesicles according to the present invention may be made by modifications of known techniques for preparing vesicles comprising non-ionic surfactants, such as those referred to in our pending international patent application no. PCT/GB93/00716. A preferred technique is the rotary film evaporation method in which a film of non-ionic surfactant is prepared by rotary evaporation from an organic solvent e.g. a hydrocarbon or chlorinated hydrocarbon solvent such as chloroform (Russell and Alexander, J Immunol 140 1274 (1988)). The resulting thin film is then rehydrated in bicarbonate buffer in the presence of the transport enhancer.

Another preferred method for the production of the vesicles of the invention is that disclosed by Collins et al. J. Pharm. Pharmacol 42, 53 (1990). This involves melting a mixture of the non-ionic surfactant, steroid (if used) and amphiphile and hydrating with vigorous mixing in the presence of aqueous buffer. The transporter molecule can be incorporated into the vesicles either by being included with the other

-9-

constituents in the melted mixture or concomittantly during the process used to entrap the antigen as described herein.

The non-ionic surfactant and other membrane-forming material may also be converted to the vesicles of the invention by hydration in the presence of shearing forces. Apparatus to apply such shearing forces is well known, suitable equipment being mentioned e.g. in WO88/06882. Sonication and ultra-sonication are also effective means to form the vesicles or to alter their particle size.

To form the vaccines of the invention, antigen must be enclosed or entrapped in the vesicles. In the preferred rotary film evaporation technique, this is achieved by hydration of the film in the presence of antigen together with the transporter molecule.

In other methods, antigens may be entrapped within preformed vesicles by the dehydration - rehydration method (Kirby & Gregoriadis, Biotechnology 2 979 (1984) in which antigen present in the aqueous phase is entrapped by flash freezing followed by lyophilisation, or the freeze thaw technique (Pick. Arch. Biochem. Biophys. 212 195 (1981)). In the latter technique, vesicles are mixed with antigen and repeatedly flash frozen in liquid nitrogen and e.g. warmed to temperature of the order of 60° C (i.e. above the transition temperature of the relevant surfactant). In addition to entrapping the antigen, the dehydration-rehydration method and freeze-thaw technique are also capable of concomittantly incorporating the transporter molecule into the vesicles. Where this approach is adopted for

-10-

incorporation of the transporter molecule into the vesicles, the freeze thaw technique is preferred.

The vesicles may be further processed to remove any non-entrapped antigen e.g. by washing and centrifuging.

5 It should be noted that our results clearly show that the non-ionic surfactant alone is not an effective adjuvant, i.e. vesicular formation is essential to obtain the desired effect. The antigen must be entrapped within the vesicles if the desired adjuvant  
10 effect is to be achieved.

In each of these methods, the suspension of vesicle components may be extruded several times through microporous polycarbonate membranes at an elevated  
15 temperature sufficient to maintain the vesicle-forming mixture in a molten condition. This has the advantage that vesicles having a uniform size may be produced.

Vesicles for forming the basis of vaccines according to the invention may be of diameter 10nm to 5 $\mu$ m, preferably 100nm to 1 $\mu$ m.

20 The vaccines of the present invention are suitable for use with many types of antigen, including peptide antigens. It is now possible to produce synthetic antigens which mimic the antigenically significant epitopes of a natural antigen by either chemical  
25 synthesis or recombinant DNA technology. These have the advantage over prior vaccines such as those based on attenuated pathogens of purity, stability, specificity and lack of pathogenic properties which in some cases can cause serious reaction in the immunised subject.  
30 The vesicles of the invention may be used with any form of antigen, including those inherently capable of acting

-11-

as vaccines and those which are formulated with effective adjuvants.

Preferred peptides of synthetic or recombinant origin contain e.g. from 8-50, preferably from 10-20 amino acid units. The antigen may e.g. mimic one or more B cell, or B cell and T cell epitopes of a pathogenic organism, so that the vaccine elicits both neutralising antibodies and a T cell response against the organism (see, for example, the disclosure of synthetic antigens to HIV in our WO88/10267 and WO91/13909).

Alternatively, the peptide may elicit an immune response against another biologically active substance, particularly a substance having hormonal activity. An example in the latter category would be the induction of an immune response against endogenous luteinising hormone-releasing hormone (LHRH). Such treatment can e.g. be used for suppression of sex steroid hormone levels for the treatment of androgen- and oestrogen-dependent carcinomas and in the immunocastration of farm and domestic animals (see our GB-B-2196969).

In some cases it may be desirable to link the peptide to a carrier to boost its immunogenicity. Suitable carriers are well known in the art, e.g. protein carriers such as purified protein derivative of tuberculin (PPD), tetanus toxoid, cholera toxin and its B subunit, ovalbumin, bovine serum albumin, soybean trypsin inhibitor, muramyl dipeptide and analogues thereof, and a cytokine or fraction thereof. When using PPD as the carrier, a higher titre of antibodies is achieved if the recipient of the vaccine is already

-12-

tuberculin sensitive, e.g. by virtue of earlier BCG vaccination.

The antigen(s) entrapped in the vesicles of the invention may be formulated into a vaccine using  
5 conventional methods of pharmacy, such as by suspension in a sterile parenterally-acceptable aqueous vehicle. The non-ionic surfactant vesicles with antigen entrapped may also be freeze-dried and stored.

Although synthetic or recombinant peptides are the  
10 preferred antigens for use in this invention, a strong adjuvant effect is also observed when protein antigens are entrapped in the vesicles of the invention. For example, strongly positive results have been obtained using bovine serum albumin (BSA) as the antigen.

15 We have found that the vaccines of the present invention are particularly effective when administered orally, particularly for the stimulation of a cell-mediated response, although antibody levels are also amplified. Other conventional modes of administration  
20 are however possible including injection, both subcutaneous, intramuscular or intraperitoneal, and via other mucosal routes such as the nasal, bronchial, urogenital or rectal routes.

Our invention therefore provides a method of  
25 formulating an antigen as an orally-active vaccine which comprises entrapping said antigen in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport, or facilitate the transport of, fats, fatty acids and lipids across  
30 mucosal membranes.

The ability to achieve an adjuvant effect by oral

- 13 -

administration e.g. of a synthetic peptide is a highly beneficial property of the vaccines of the present invention, and is a property that whilst being previously contemplated in the prior art has not yet  
5 been realised. The oral administration route has several advantages over the previous administration routes of injection. Dangers of infection which accompany injection such as, for example, derive from the use of non-sterile needles, are avoided. In  
10 addition to inducing a systemic immune response, oral administration may also induce a mucosal response. Such a mucosal response is thought to be important in immunological protection against many pathogens, e.g. HIV, Toxoplasma. Acceptability to patients is also  
15 higher for oral compositions. Hence greater levels of vaccination within the population may be achievable as compared to traditional parenteral vaccine regimes.

In formulating vesicles to be used as vaccines specifically to be orally administered, ester-linked  
20 surfactants are preferred, although ether-linked surfactants particularly 1-monocetyl glycerol ether and diglycol cetyl ether may be used.

We have found that oral vaccines according to the present invention not only are capable of stimulating  
25 antibody production i.e. a systemic immune response but can also lead to antibody production upon a second challenge in cases where a less significant response is achieved on first challenge. The vesicles of the invention are also capable with entrapped antigen of  
30 priming the immune system for antibody production upon subsequent challenge particularly when orally

- 14 -

administered. This makes the vesicles with entrapped antigen highly suitable as vaccines.

Further analysis of the immune response produced by the novel vaccine of the invention has shown that the level of IgG2a antibodies elicited when compared to immunisation with BSA alone is markedly higher, reaching a 10 to 20 fold difference. High levels of IgG2a are believed to be associated with the production of interferon- $\gamma$  from Th1 cells which mediate the development of cell-mediated immunity. Thus the vesicles of the present invention appear to be ideally suited for use as vaccines requiring stimulation of this facet of the immune response.

An advantage of the vesicles of the invention as adjuvants is their stability and substantial non-toxicity. The vaccines contemplated by this invention are primarily applicable to mammals and are thus useful in both human and veterinary medicine. It is also envisaged that the vesicles of the invention can provide an effective adjuvant for non-mammalian species e.g. fish and poultry.

The vesicles and adjuvant properties thereof are illustrated in the following non-limiting Examples.

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-15-

Example 1Preparation of vesicles containing Ox Bile by Rotary Film Evaporation

5 Vesicles were formed from 1-monopalmitoyl glycerol ester (MPG) in the molar ratio 5:4:1 MPG:cholesterol:dicetyl phosphate (i.e. 24.8 mg : 23.2 mg : 8.2 mg). Vesicles were prepared by rotary film evaporation from chloroform as described by Russell and Alexander (Supra). The 150  
10  $\mu$ moles of surfactant formed into thin film was hydrated in 5ml of carbonate buffer containing 100 mg of BSA + 100 mg ox bile (Sigma). The mixture was shaken for 2 hours at 60° C, sonicated for 5 minutes in a water-bath sonicator at 60° C and then incubated for 2 hours in a  
15 shaking water bath. Non-entrapped antigen was removed by twice washing with carbonate buffer and centrifuging at 100000g for 40 minutes. The presence of bile acids in the washed vesicle preparations was confirmed by this layer chromatography.

20

The ox bile used is dried ox gall powder. This essentially consists of bile acids, e.g. cholic acid, deoxycholic acid and taurocholic acid.

25 The same procedure was used for the preparation of vesicles containing individual bile acids.

Example 2Preparation of vesicles containing palmitoyl carnitine

30

Vesicles are prepared by the technique described in

-16-

Example 1 except that 100mg palmitoyl carnitine replaces ox bile in the hydration step.

### Example 3

#### 5 Preparation of vesicles containing Ox Bile or palmitoyl carnitine by freeze thaw

Vesicles were formed from 1-monopalmitoyl glycerol ester (MPG) in the molar ratio 5:4:1 MPG: cholesterol: dicetyl phosphate (i.e. 24.8 mg:23.2mg:8.2mg). Vesicles were  
10 prepared using the melt method as described by Collins et al. (Supra) and incubated in a shaking water bath for 2 hours at 60°C. Antigen was entrapped into the preformed vesicles and palmitoyl carnitine or bile (ox gall or individual bile acid salts) concomitantly  
15 incorporated in the lamella of the vesicles using the freeze-thaw technique as described by Pick (Supra). 2.5 ml (75 $\mu$ moles) of vesicles in carbonate buffer (pH 9.4) were mixed with 5mg of palmitoyl carnitine (Sigma) or 20mg of bile. The mixture was flash frozen in liquid  
20 nitrogen and thawed to 60°C. This was repeated 5 times. The vesicles were twice washed by centrifugation at 100,000g for 40 minutes using carbonate buffer. The presence of palmitoyl carnitine or bile in the washed vesicle preparations was confirmed by thin layer  
25 chromatography.

### Example 4

#### Oral immunisation of mice with BSA entrapped in vesicles

30 Vesicles comprising ox bile were prepared in accordance

-17-

with the procedure of Example 1. Vesicles were similarly prepared but omitting the ox bile.

8-10 week old female BALB/c mice were used with five mice in each treatment group. Each group received one of the following.

- a) BSA in carbonate buffer
- 10 b) BSA in MPG NISV prepared in the presence of 20 mg/ml ox bile.

The mice received a primary oral dose of 0.1 ml (240 µg BSA per mouse) administered by gavage tube on day 1. On day 12, a secondary oral dose was administered (500 µg BSA per mouse). Blood samples were collected on days 20 and 24 and analysed for anti-BSA IgG titre by ELISA (Brewer and Alexander, Immunology 75, 570-575 (1992)).

20 The IgG responses for the two bleeds at 8 and 12 days after the last inoculation were very similar and the data for 12 day bleeds is presented in Figures 1 and 2. Figure 1 shows the total serum IgG titres obtained. It can clearly be seen that BSA entrapped in vesicles containing bile salts induced a greatly increased IgG response as compared to that elicited by BSA alone (p<0.05).

Figure 2 shows an isotype analysis of the antibody response. Whilst in absolute terms BSA in vesicles containing ox bile produced a higher IgG1 response than

-18-

BSA alone (approx 3 fold) the IgG2a response elicited was some 10-20 fold higher than that achieved with BSA alone. This strongly suggests that a cell-mediated response has been induced.

5

Example 5Recall response of mice orally immunised with BSA entrapped within vesicles

10

Vesicles were formed from 1-monopalmitoyl glycerol ester (MPG) as described in Example 1. The 150  $\mu$ moles of surfactant formed into thin film was hydrated in 5 ml of carbonate buffer containing one of the following; 100 mg of BSA, 100 mg of BSA + 100 mg ox bile (Sigma), or, 100 mg BSA + 100 mg of deoxycholate (DOC) (Sigma). The mixture was shaken for 2 hours at 60° C.

8-10 week old female BALB/c mice were used with five mice in each treatment group. Each group received one of the following.

- a) BSA in carbonate buffer
- b) BSA in MPG NISV prepared in the presence of 20 mg/ml ox bile
- c) BSA in MPG NISV prepared in the presence of 20 mg/ml DOC.

30

The mice received a primary oral dose of 0.1 ml (240  $\mu$ g

-19-

BSA per mouse) administered by gavage tube on day 1. A secondary oral dose (500  $\mu$ g BSA per mouse) was administered at two weeks. After 4 weeks, each mouse was challenged with a subcutaneous injection of BSA (100  $\mu$ g in PBS). Blood samples were collected two weeks after the BSA challenge and analysed for anti-BSA IgG titre by ELISA. The mean values from each treatment group were recorded in Figure 3.

Mice which received two doses of antigen incorporated into vesicles produced a much greater serum IgG response to BSA when challenged systemically than those animals in the control group. The highest titres were obtained with vesicles containing bile salts, either individually or as oxbile, in addition to non-ionic surfactants. These results suggest that the presence of bile salts in the vesicle formulation improves the priming effect (i.e. the generation of a memory pool of antigen-specific immune cells) of the vesicles.

#### Example 6

Recall response of mice orally immunised with BSA entrapped in vesicles prepared with individual bile acids

Vesicles were formed from 1-monopalmitoyl glycerol ester as in Example 5. 150  $\mu$ moles of surfactant formed into thin film were hydrated in 5ml of carbonate buffer containing 100mg BSA + 100mg glycocholic acid (Gly) (Sigma) as in Example 5. The vesicles were administered to mice and blood samples taken for analysis according

-20-

to Example 5 and the data is presented in Figure 3.

Example 7

5 Effect of number of oral doses of BSA entrapped in vesicles on serum antibody response

The purpose of this experiment was to examine if the number of oral doses had any effect on the subsequent  
10 ability of antigen formulations to induce the production of specific IgG.

Vesicles were formed from 1-monopalmitoyl glycerol ester (MPG) by rotary film evaporation as described in Example  
15 1. 8-10 week old female BALB/c mice were used with three mice in each treatment group. Each group received one of the following.

- a) BSA in carbonate buffer (A1-A3)
- 20 b) BSA in MPG NISV prepared in the presence of 20 mg/ml ox bile (B1-B3)

The mice received one, two or three oral doses of 0.2ml (500µg BSA per mouse) administered by gavage tube over a  
25 one week period. Those mice who received a single dose received it on day 1, those who received two doses received them on days 1 and 4, and those who received three doses received them on days 1, 4 and 7. The immunisation regime was repeated two weeks later and  
30 blood samples collected another 2 weeks thereafter. The BSA-specific IgG response at this time point is shown in

-21-

Figure 4.

As would be expected for oral administration of a simple protein antigen, no IgG production could be detected in those animals dosed with antigen in carbonate (ie without vesicles) regardless of frequency of dosing (A1-A3). While one of the three mice responded after two repeated single doses of NISV/bile (B1), increasing the frequency of dosing two repeated doses twice in a week (B2) had no effect on the response and two repeated doses three times a week produced no responders at all (B3).

The immunisation regime was repeated for a third time but little change was observed in this pattern of response. Two weeks later, each mouse was challenged with a subcutaneous injection of 100 $\mu$ g BSA in PBS. Two weeks after challenge, a recall serum IgG response was observed in all groups as shown in the results of figure 5. However, a higher antibody production was observed in mice orally dosed once or twice with antigen entrapped within NISV/bile than with antigen alone.

Thus the vesicles of the invention with entrapped antigen are particularly effective at eliciting a serum antibody response with a low number of oral doses.

25

Claims

- 5 1. A product comprising at least one antigen entrapped  
in vesicles comprising at least one non-ionic surfactant  
and at least one molecule having the ability to  
transport or facilitate the transport of fats, fatty  
acids and lipids across mucosal membranes.
- 10 2. A product is claimed in claim 1 wherein the  
molecule having the ability to transport or facilitate  
the transport of fats, fatty acids and lipids across  
mucosal membranes is a cholesterol derivative in which  
15 the C<sup>23</sup> carbon atom of the side chain carries a carboxyl  
group or a derivative thereof.
3. A product as claimed in claim 2 wherein the  
cholesterol derivative is a bile acid, a conjugation  
20 product or derivative or a salt thereof.
4. A product as claimed in claim 3 wherein the  
cholesterol derivative is cholic acid, chenodeoxycholic  
acid, glycocholic acid, taurocholic acid, deoxycholic  
25 acid or ursodeoxycholic acid.
5. A product as claimed in claim 2 wherein the  
molecule having the ability to transport or facilitate  
the transport of fats, fatty acids and lipids across  
30 mucosal membranes is an acyloxylated amino acid.



-23-

6. A product as claimed in claim 5 wherein the acyloxyated amino acid is an acyl carnitine.
7. A product as claimed in claim 6 wherein the  
5 acylcarnitine is palmitoyl carnitine.
8. A product as claimed in any one of the preceding claims wherein the non-ionic surfactant comprises a glycerol ester.
- 10
9. A product as claimed in claim 8 wherein the glycerol ester is a glycerol monoester comprising  $C_{12}$ - $C_{20}$  alkanoyl or alkenoyl moieties.
- 15
10. A product as claimed in claim 9 wherein the glycerol ester is 1-monopalmitoyl glycerol.
11. A product as claimed in any one of claims 1 to 7 wherein the non-ionic surfactant comprises an ether  
20 based on glycerol or a lower aliphatic glycol.
12. A product as claimed in claim 11 wherein the ethers are glycerol monoethers or monoethers based on lower aliphatic glycols comprising  $C_{12}$ - $C_{20}$  alkanyl or alkenyl  
25 moieties.
13. A product as claimed in any one of claims 1 to 12 for use as a vaccine.
- 30
14. A product as claimed in any one of claims 1 to 12 for use in prophylaxis or therapy.

-24-

15. A pharmaceutical composition comprising a product as claimed in any one of the preceding claims together with a pharmaceutically acceptable carrier or excipient.
- 5 16. A composition as claimed in claim 15 in a form suitable for oral administration.
- 10 17. A method for preparing a vaccine comprising entrapping at least one antigen in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes.
- 15 18. A method of formulating an antigen as a vaccine comprising entrapping said antigen in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes.
- 20 19. A method of formulating an antigen as an orally active vaccine comprising entrapping said antigen in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes.
- 25 20. A method of formulating an antigen to mediate the development of cell mediated immunity comprising entrapping said antigen in vesicles comprising at least
- 30

-25-

one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes.

5

21. A method of formulating an antigen to stimulate antibody production via the Th1 T lymphocyte pathway comprising entrapping said antigen in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes.

10

22. A method of potentiating the immune response to at least one antigen in a mammalian or non-mammalian subject comprising administering said antigen entrapped in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes.

15

20

23. A method of stimulating the immune response to at least one antigen via the Th1 T lymphocyte pathway in a mammalian or non-mammalian subject comprising administering said antigen entrapped in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes.

25

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-26-

24. A method of stimulating cell mediated or humoral immunity in a mammalian or non-mammalian subject to an antigen comprising administering to said subject said antigen entrapped in vesicles comprising at least one  
5 non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes.

25. A method as claimed in any one of claims 22 to 24  
10 wherein the antigen is orally administered.

26. Use of vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty  
15 acids and lipids across mucosal membranes and having at least one antigen entrapped therein for the stimulation of cell mediated and/or humoral immunity.

27. Use of vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty  
20 acids and lipids across mucosal membranes and having at least one antigen entrapped therein as an immunological adjuvant.

25

28. Use of at least one antigen entrapped in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids  
30 across mucosal membranes in the manufacture of a product for use in potentiating the immunological response to

-27-

said antigen in a mammalian or non-mammalian subject.

29. Use of at least one antigen entrapped in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes in the manufacture of a product for use in stimulating cell mediated and/or humoral immunity in response to said at least one antigen in a mammalian or non-mammalian subject.

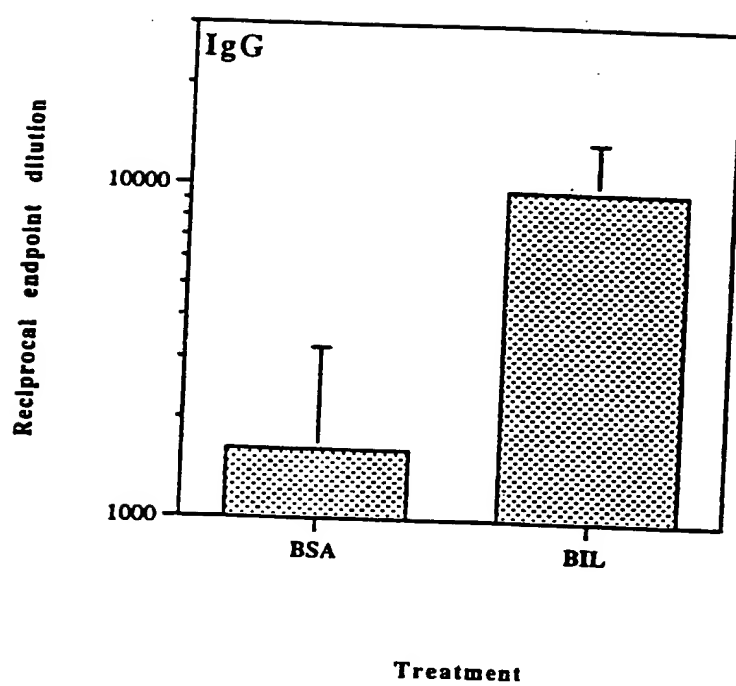
30. Use of at least one antigen entrapped in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes in the manufacture of a product for use in stimulating antibody production via the Th 1 T lymphocyte pathway in response to said at least one antigen in a mammalian or non-mammalian subject.

31. Use as claimed in any one of claims 28 to 30 wherein said subject is mammalian.

32. A product comprising at least one antigen entrapped in vesicles comprising at least one non-ionic surfactant and at least one molecule having the ability to transport or facilitate the transport of fats, fatty acids and lipids across mucosal membranes in the form of a powder, tablet, syrup, capsule or granule.

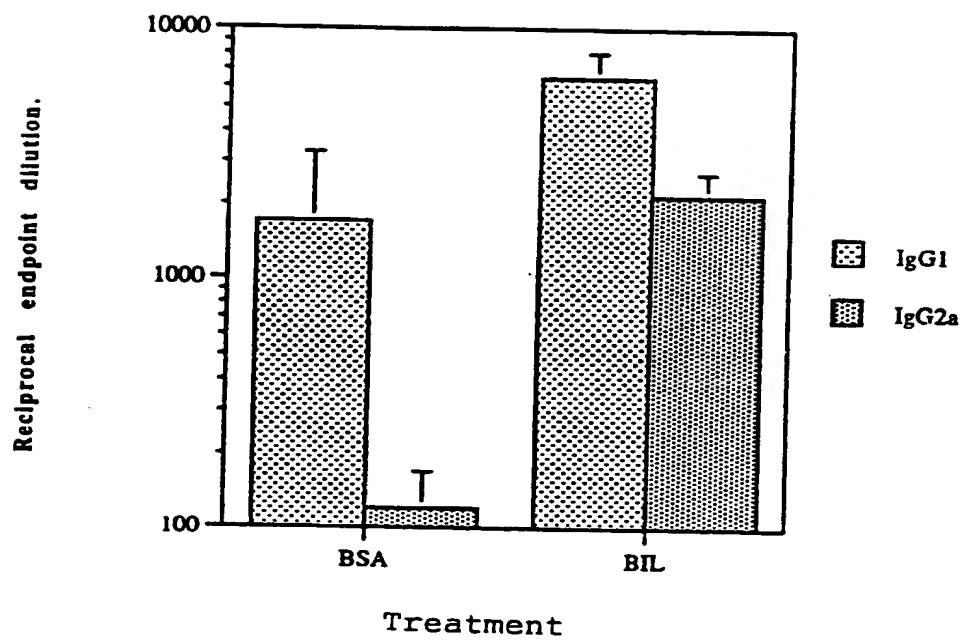
1/5

FIGURE 1



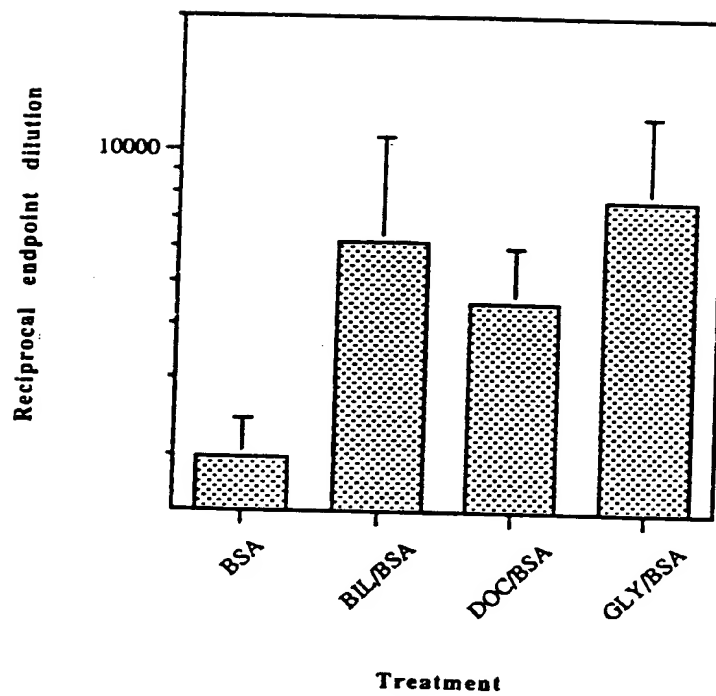
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FIGURE 2



3/5

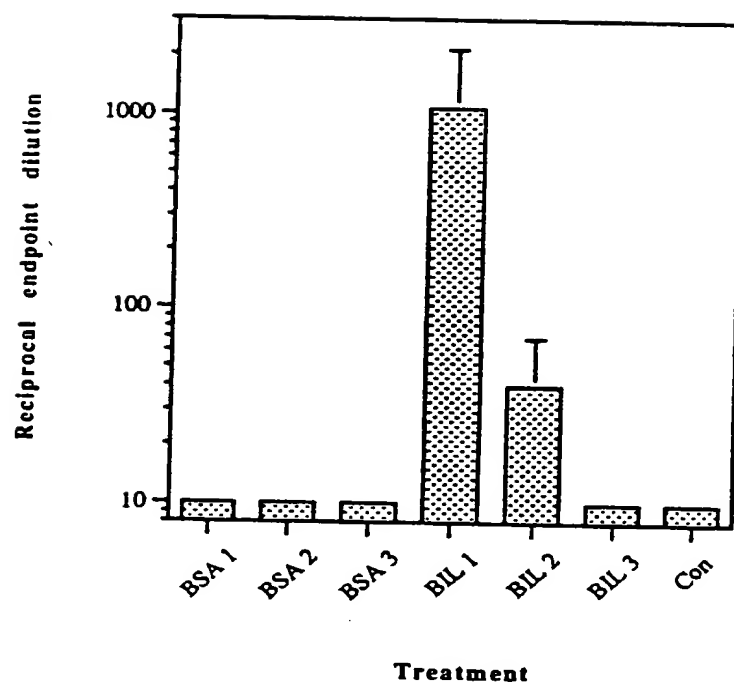
FIGURE 3





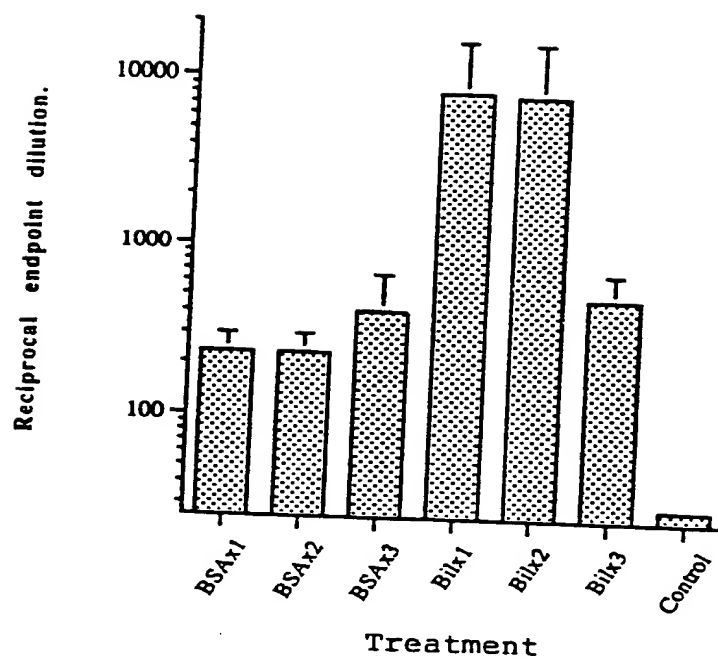
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FIGURE 4



5/5

FIGURE 5



## A. CLASSIFICATION OF SUBJECT MATTER

A 61 K 39/39

According to International Patent Classification (IPC) or to both national classification and IPC 6

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

A 61 K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, A	CHEMICAL ABSTRACTS, vol. 121, no.9, issued 1994, August 29, (Columbus, Ohio, USA), J.M.BREWER et al."Studies on the adjuvant activity of non-ionic surfactant vesicles: Adjuvant-driven IgG2a production independent of MHC control", page 815, no. 106 161k; & Vaccine 1994, 12(7), 613-19.	1-16, 22-32
P, A	WO, A, 93/19 781 (PROTEUS MOLECULAR DESIGN LIMITED) 14 October 1993 (14.10.93), claims.	1-16, 22-32

☐ Further documents are listed in the continuation of box C.☐ Patent family members are listed in annex.

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Date of the actual completion of the international search  
21 November 1994

Date of mailing of the international search report

02-12-1994

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SCHNASS e.h.

# ANHANG

zum internationalen Recherchen-  
bericht über die internationale  
Patentanmeldung Nr.

# ANNEX

to the International Search  
Report to the International Patent  
Application No.

# ANNEXE

au rapport de recherche inter-  
national relatif à la demande de brevet  
international n°

PCT/GB 94/02169 SAE 97219

In diesem Anhang sind die Mitglieder  
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This Annex lists the patent family  
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WO A1 9319781	14-10-93	AP A0 9300517 AU A1 38997/93 CN A 1085449 FI A0 944676 GB A0 9207731	30-04-93 08-11-93 20-04-94 06-10-94 27-05-92